

Số/No: 2907.01/2025/CBTT-CKCT12

Hà Nội, ngày 29 tháng 07 năm 2025

Hanoi, July 29<sup>th</sup>, 2025

**CÔNG BỐ THÔNG TIN TRÊN CÔNG THÔNG TIN ĐIỆN TỬ**  
**DISCLOSURE OF INFORMATION ON THE PORTAL**

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*  
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*  
- Sở Giao dịch Chứng khoán Tp.Hồ Chí Minh/ *HoChiMinh Stock Exchange*  
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*



1. Tên tổ chức: Công ty Cổ phần chứng khoán Ngân hàng Công thương Việt Nam  
*Name of organization: Vietnam Bank for Industry and Trade Securities Joint Stock Company*

2. Mã chứng khoán/ *Stock code: CTS*

3. Địa chỉ trụ sở chính: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Đình, Thành phố Hà Nội (Địa chỉ cũ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Tảo, Quận Bắc Từ Liêm, Thành phố Hà Nội).

*Head office address: Floor 1<sup>st</sup> to 4<sup>th</sup>, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi (Old Address: Floor 1<sup>st</sup> to 4<sup>th</sup>, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi).*

4. Điện thoại/ *Tel: 024. 3974 1771* Fax: 024. 3974 1760

5. Website: <http://www.cts.vn> Email: [congbothongtin@cts.vn](mailto:congbothongtin@cts.vn)

6. Người thực hiện công bố thông tin/ *Submitted by:*

- Họ và tên/ *Full Name: Trần Phúc Vinh / Tran Phuc Vinh*
- Chức vụ/ *Position: Chủ tịch Hội đồng quản trị / Chairman of the Board of Directors*
- Địa chỉ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Đình, Thành phố Hà Nội (Địa chỉ cũ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Tảo, Quận Bắc Từ Liêm, Thành phố Hà Nội).

*Address: Floor 1<sup>st</sup> to 4<sup>th</sup>, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi (Old Address: Floor 1<sup>st</sup> to 4<sup>th</sup>, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi).*

- Điện thoại/ *Tel: 024. 3974 1771* Fax: 024. 3974 1760

7. Loại thông tin công bố: ☒ Định kỳ ☐ Bất thường ☐ Theo yêu cầu

*Information disclosure type: ☒ Periodic ☐ Irregular ☐ On demand*

**8. Nội dung thông tin công bố/ Content of disclosure:**

Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam công bố thông tin Báo cáo tình hình quản trị công ty 06 tháng đầu năm 2025.

*Vietnam Bank for Industry and Trade Securities Joint Stock Company announces the Report on Corporate Governance in the first 06 months of 2025.*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 29/07/2025 tại đường dẫn: <https://www.cts.vn/2025/07/29/vietinbank-securities-cbtt-bao-cao-tinh-hinh-quan-tri-cong-ty-06-thang-dau-nam-2025/>

*This information was published on the Company's website on July 29, 2025, as in the link: <https://www.cts.vn/2025/07/29/vietinbank-securities-cbtt-bao-cao-tinh-hinh-quan-tri-cong-ty-06-thang-dau-nam-2025/>*

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./.

*We hereby certify that the information provided is true and correct and we bear the full responsibility to the law./.*

**Tài liệu kèm theo/ Attached documents:**

- Báo cáo tình hình quản trị công ty 06 tháng đầu năm 2025/ Report on Corporate Governance in the first 06 months of 2025.

**Nơi nhận/ Recipients:**

- Như K/g/ As To;
- Lưu/ Archives TCHC, VP.HĐQT/  
HR & Administration Department,  
BOD Office.

**ĐẠI DIỆN TỔ CHỨC  
ORGANIZATION REPRESENTATIVE  
NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT  
LEGAL REPRESENTATIVE  
CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ  
CHAIRMAN OF THE BOARD OF DIRECTORS**



**Trần Phúc Vinh / Tran Phuc Vinh**





**VIETNAM BANK FOR INDUSTRY AND TRADE  
SECURITIES JOINT STOCK COMPANY**

No: 02 /2025/BC-HDQT-CKCT

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

Hanoi, July 29<sup>th</sup>, 2025



**REPORT ON  
CORPORATE GOVERNANCE  
(The first 06 months of 2025)**

**To:**

- The State Securities Commission
- Vietnam Stock Exchange
- HoChiMinh Stock Exchange
- Hanoi Stock Exchange

- Name of company: **VIETNAM BANK FOR INDUSTRY AND TRADE SECURITIES JOINT STOCK COMPANY**
- Address of headoffice according to Establishment and Organization License: Floor 1<sup>st</sup> to 4<sup>th</sup>, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi.  
(Address of headoffice according to new administrative boundaries: Floor 1<sup>st</sup> to 4<sup>th</sup>, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi).
- Telephone: 024.39741771; Fax: 024.39741760; Email: vanphong@cts.vn
- Charter capital: **VND 2,126,934,380,000** (*Two thousand one hundred twenty-six billion, nine hundred thirty-four million, three hundred eighty thousand Vietnamese Dong*).
- Stock symbol: **CTS**
- Corporate governance model: General Meeting of Shareholders (GMS), Board of Directors (BOD), Board of Supervisors (BOS) and General Director.

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- The implementation of internal audit: Implemented (The Company has an Internal Audit Department under the BOD).

#### **I. Activities of the General Meeting of Shareholders:**

In the first 06 months of 2025, Vietnam Bank for Industry and Trade Securities Joint Stock Company (“**the Company**”) held 01 General Meeting of Shareholders, the 2025 Annual General Meeting (AGM) of Shareholders. The 2025 AGM of Shareholders was held by the Company at 07:30 on April 24, 2025, in-person, on the 4<sup>th</sup> Floor, Building N02-T2, Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi.

The Resolution of the Company's 2025 AGM of Shareholders takes effect from April 24, 2025.

The Resolution and Meeting Minutes of the 2025 AGM of Shareholders had been disclosed by the Company in accordance with regulations.

Specifically, the contents approved by the 2025 AGM of Shareholders are as follows:

No.	Resolution/Decision No.	Date	Content
1	01/2025/NQ-DHDCD-CKCT	April 24, 2025	<p>Main contents of the Resolution of the 2025 AGM of Shareholders:</p> <ol style="list-style-type: none"><li>1. Approval of the Board of Directors' (BOD) Report on the Company's business management in 2024, the governance and the performance of the BOD and its members in 2024, and the business orientation in 2025.</li><li>2. Approval of the Board of Supervisors' (BOS) Report on its activities and the results of its assigned duties and powers in 2024, and the activity orientation for 2025.</li><li>3. Approval of the 2024 Financial Statements of the Company audited by Deloitte Vietnam Audit Company Limited.</li><li>4. Approval of the 2024 Profit Distribution Plan.</li><li>5. Approval of the 2025 Business Plan, Profits, and Dividends of the Company. The GMS authorized the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company to proactively review, research, and adjust the business plan, profits, and dividends for 2025 in accordance with the actual situation and to disclose information as required by legal provisions.</li></ol>



No.	Resolution/Decision No.	Date	Content
			<p>6. Approval of the List of independent auditing firms and authorize the Company's BOD to decide on the selection of 01 (one) out of the 04 (four) independent auditing firms: Deloitte Vietnam Audit Company Limited, Ernst &amp; Young Vietnam Limited, KPMG Limited, PwC (Vietnam) Limited to review/audit the Financial Statements and semi-annual/annual Financial Safety Ratio Report for 2025 of the Company, ensuring quality, efficiency at reasonable costs and in accordance with the provisions of law.</p> <p>7. Approval of the total remuneration, bonuses, and other benefits of the BOD, and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors in 2025.</p> <p>8. Approval of Plan of increasing charter capital through the issuance of shares for dividend payments of the Company.</p> <ul style="list-style-type: none"> <li>- Approval of <i>"Plan of increasing charter capital through the issuance of shares for dividend payments from undistributed after-tax profits by the end of 2024"</i> of the Company.</li> <li>- Approval of the amendment of the Company's Charter on Organization and Operation in accordance with the updated charter capital and the increased number of shares.</li> <li>- Approval of the registration of an additional securities depository at Vietnam Securities Depository and Clearing Corporation (VSDC), registration of additional listing of shares issued according to the above issuance plan at the Ho Chi Minh City Stock Exchange (HOSE).</li> <li>- The GMS authorized and assigned to the Company's Board of Directors to: <ul style="list-style-type: none"> <li>+ Decide, adjust and complete the Company's <i>"Plan of increasing charter capital through shares issuance for dividend payments</i></li> </ul> </li> </ul>

No.	Resolution/Decision No.	Date	Content
			<p><i>until the end of 2024</i>” of the Company based on the approval of the competent authority/request of the competent authority (<i>if any</i>).</p> <ul style="list-style-type: none"> <li>+ Approve the revised content, consolidate the full text, and promulgate the Charter of Organization and Operation of Vietnam Bank for Industry and Trade Securities Joint Stock Company in accordance with the updated charter capital and the increased number of shares.</li> <li>+ Decide, approve the contents and implement all necessary tasks and procedures to implement: increase charter capital, issue shares to pay dividends, change the Company's Establishment and Operation License and Business Registration Certificate, register additional securities at VSDC, register additional listing of shares at HOSE and other procedures as prescribed by law.</li> <li>+ Decide on other issues related to increasing the Company's charter capital.</li> </ul> <p>9. Approval of the Proposal on dismissal and additional election of the member to the Company's Board of Directors as follows:</p> <p>9.1. Approval of the dismissal of Mr. Dang Anh Hao from the position of Member of the Board of Directors of the Company from April 24, 2025.</p> <p>9.2. Approval of the dismissal of Ms. Pham Thi Huyen Trang from the position of Independent Member of the Company's Board of Directors from April 24, 2025.</p> <p>9.3. Approval of the additional election of members of the Company's Board of Directors with the following contents:</p> <ul style="list-style-type: none"> <li>+ The number of additional members to be elected to the Company's Board of Directors was 02 (two), including at least 01 (one) Independent Member of the Board of Directors.</li> </ul>

No.	Resolution/Decision No.	Date	Content
			<p>+ The term of the additional members and the independent members of the Board of Directors started from April 24, 2025 to April 9, 2029.</p> <p>10. Additional election of followings Mr/Ms. to become member of the Board of Directors of the Company for the term starting from April 24, 2025 to April 09, 2029:</p> <ul style="list-style-type: none"> <li>- Ms. Bui Thi Thanh Thuy – Member of Board of Directors.</li> <li>- Mr. Pham Viet Hung – Independent Member of Board of Directors.</li> </ul>

## II. Board of Directors (2025 Semi-annual report):

### 1. Information about the members of Board of Directors:

No.	BOD's members	Position	The date of becoming/ceasing to be member of the BOD/ an Independent member of the BOD	
			Date of appointment	Date of dismissal
1	Mr. Tran Phuc Vinh	Chairman of the BOD	November 11, 2021 <sup>(1)</sup>	-
2	Mr. Vu Duc Manh	Member of the BOD	January 10, 2022	-
3	Mr. Dang Anh Hao	Member of the BOD	April 27, 2020	April 24, 2025 <sup>(2)</sup>
4	Ms. Bui Thi Thanh Thuy	Member of the BOD	April 24, 2025 <sup>(3)</sup>	-
5	Ms. Ho Thi Thu Hien	Member of the BOD	April 27, 2020	-
6	Ms. Pham Thi Huyen Trang	Independent Member of the BOD	April 10, 2024	April 24, 2025 <sup>(4)</sup>
7	Mr. Pham Viet Hung	Independent Member of the BOD	April 24, 2025 <sup>(5)</sup>	-



- (1) Mr. Tran Phuc Vinh was elected by the Company's 2020 AGM of Shareholders as a member of the Company's BOD from April 27, 2020 and was elected by the Company's BOD to hold the position of Chairman of the BOD from November 11, 2021 (term until April 9, 2024); and was continuously elected by 2024 AGM of Shareholders of the Company as a member of the Company's BOD and elected by the Company's BOD to hold the position of Chairman of the BOD for a term of 05 (five) years started from April 10, 2024.
- (2) Mr. Dang Anh Hao was dismissed from his position as a Member of the BOD of the Company, effective from April 24, 2025, as approved by the 2025 AGM of Shareholders held on April 24, 2025.
- (3) Ms. Bui Thi Thanh Thuy was elected as a Member of the BOD of the Company for the term from April 24, 2025, to April 9, 2029, as approved by the 2025 AGM of Shareholders held on April 24, 2025.
- (4) Ms. Pham Thi Huyen Trang was dismissed from her position as an Independent Member of the BOD of the Company, effective from April 24, 2025, as approved by the 2025 AGM of Shareholders held on April 24, 2025.
- (5) Mr. Pham Viet Hung was elected as an Independent Member of the BOD of the Company for the term from April 24, 2025, to April 9, 2029, as approved by the 2025 AGM of Shareholders held on April 24, 2025.

**2. Meetings of the Board of Directors <sup>(6)</sup>:**

No.	Board of Directors' member	Number of meetings attended by Board of Directors	Meeting attendance rate	Reasons for absence
<b>From 01 January 2025 to 30 June 2025</b>				
1	Mr. Tran Phuc Vinh	15/15	100%	-
2	Mr. Vu Duc Manh	15/15	100%	-
3	Mr. Dang Anh Hao	10/10	100%	No longer a Member of the BOD from April 24, 2025
4	Ms. Bui Thi Thanh Thuy	05/05	100%	Becoming a Member of the BOD on April 24, 2025
5	Ms. Ho Thi Thu Hien	15/15	100%	-

No.	Board of Directors' member	Number of meetings attended by Board of Directors	Meeting attendance rate	Reasons for absence
6	Ms. Pham Thi Huyen Trang	10/10	100%	No longer an Independent member of the BOD from April 24, 2025
7	Mr. Pham Viet Hung	05/05	100%	Becoming an Independent Member of the BOD on April 24, 2025

(6) *Excluding cases of written opinion.*

### **3. Supervising Board of General Directors by the Board of Directors:**

- In the first 06 months of 2025, The BOD of the Company has supervised the Board of General Directors to exercise the rights and duties, goals, target plans assigned in accordance with the provisions of law, the Charter of Organization and Operation of the Company (hereinafter referred to as "**the Company's Charter**") and the Resolutions of the GMS/BOD, ensuring the legitimate rights and interests of the Company and shareholders.
- The BOD' supervision of the General Director and other Executives in the management of the Company's business and daily operations is carried out through specific resolutions and directions of the BOD for the General Director, Deputy General Directors and other Executives of the Company at regular/extraordinary BOD's meetings when they arise and in Resolutions approved by the BOD by the method of obtaining written opinions for each specific case, and at the same time, supervision is carried out through channels such as through the reporting mechanism of the General Director to the BOD, through the activities of the Internal Audit Department under the BOD, ...
- The BOD of the Company has regularly supervised and promptly directed the Board of General Directors to organize the implementation of the business plan objectives and targets assigned by the GMS, as well as the implementation of the Company's action plans; supervised the management and operation of business on the basis of the Company's Charter, the Enterprise Law, the Securities Law and guiding documents as well as regulations and instructions of competent management agencies. The BOD has also requested the Board of General Directors of the Company to periodically/unscheduledly report on the implementation of business operations, management and risk management of the Company's activities, thereby the BOD has promptly directed to handle difficulties as well as have appropriate orientations for the Company's business activities, management and operation and risk management at each time/in each period.

- The BOD has promulgated/approved the issuance, amendment and supplementation of framework regulations, rules and made relevant decisions in the process of implementing governance work in accordance with the provisions of the Company Charter, Internal regulation on corporate governance and the BOD' regulation on operation approved by the GMS; at the same time, it supervises the implementation and compliance by the Board of General Directors with regulations, rules, decisions/resolutions of the GMS/BOD to ensure the safety and effectiveness of the Company's operations and compliance with current laws.

#### ***4. Activities of the Board of Directors' subcommittees:***

The BOD does not establish subcommittees under the BOD. However, to perform the functions and tasks of the BOD, the BOD/Chairman of the BOD has:

- Maintain the operating structure of the Company's BOD (in which at least 1/3 of the total number of BOD members are non-executive members and at least 01 BOD member is an independent member) to ensure independence and objectivity in the BOD' operations and compliance with the provisions of the Law.
- Assign duties to members of the BOD in charge of and supervise specific areas of operation to perform the duties and powers of the BOD comprehensively and fully, in compliance with the provisions of the Law, the Company's Charter and the Company's internal regulations.
- Continue to maintain and strengthen the role of the Internal Audit Department under the BOD. The Internal Audit Department at the Company performs its functions and tasks in accordance with the provisions of Decree No. 05/2019/ND-CP dated January 22, 2019 of the Government on Internal Audit and Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance regulating the activities of securities companies, in addition, performs specific tasks assigned by the Board of Directors. Currently, the Internal Audit Department under the Company's BOD has 01 person as Department Head.

#### ***5. Resolutions/Decisions of the Board of Directors (The first 06 months of 2025):***

<b>No.</b>	<b>Resolution/Decision Number</b>	<b>Date</b>	<b>Content</b>	<b>Approval rate</b>
1	01/2025/NQ-HDQT-CKCT	January 03, 2025	Approval of the Business Plan for Bonds	100%
2	02/2025/NQ-HDQT-CKCT	January 07, 2025	Approval of the Business Plan for Bonds	100%



No.	Resolution/Decision Number	Date	Content	Approval rate
3	03/2025/NQ-HDQT-CKCT	January 07, 2025	Evaluation and classification of the level of task completion in 2024 of the Units	100%
4	04/2025/NQ-HDQT-CKCT	January 15, 2025	Disbursement from the Reward Fund	100%
5	05/2025/NQ-HDQT-CKCT	January 20, 2025	Approval of loan limit	100%
6	06/2025/NQ-HDQT-CKCT	January 20, 2025	Approval of loan limit	100%
7	07/2025/NQ-HDQT-CKCT	January 21, 2025	Re-approval and adjustment of the stock margin lending policy and advance payment limit for securities sale to clients	100%
8	08/2025/NQ-HDQT-CKCT	January 21, 2025	Lending for margin trading of stocks and granting advance payment limits for securities sales to clients	100%
9	09/2025/NQ-HDQT-CKCT	January 21, 2025	Implementing the contents at the BOD meeting in January 2025	100%
10	10/2025/NQ-HDQT-CKCT	January 21, 2025	Approval of the financial plan assignment to Units in 2025	100%
11	11/2025/NQ-HDQT-CKCT	January 22, 2025	Change of Headquarters of Vietnam Bank for Industry and Joint Stock Securities Joint Stock Company – Da Nang Branch	100%
12	12/2025/NQ-HDQT-CKCT	January 23, 2025	Adjustment of margin lending limits and advance payment limits for securities sales for clients	100%
13	13/2025/NQ-HDQT-CKCT	January 24, 2025	Approval of the Internal Audit Plan for 2025	100%
14	14/2025/NQ-HDQT-CKCT	January 24, 2025	Evaluation and classification of 2024 task completion for staff under the personnel management authority of the Board of Directors	100%
15	16/2025/NQ-HDQT-CKCT	February 07, 2025	Personnel work	100%
16	17/2025/NQ-HDQT-CKCT	February 13, 2025	Approval of loan limit	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
17	18/2025/NQ-HDQT-CKCT	February 14, 2025	Additional margin lending clients	100%
18	19/2025/NQ-HDQT-CKCT	February 14, 2025	Lending for margin trading of stocks for clients	100%
19	20/2025/NQ-HDQT-CKCT	February 17, 2025	Implementing the contents at the BOD's meeting in February 2025	100%
20	21/2025/NQ-HDQT-CKCT	February 17, 2025	Approval of increasing the advance payment limits for securities sales to clients	100%
21	22/2025/NQ-HDQT-CKCT	February 17, 2025	Approval of weights of risk assessment criteria of money laundering at Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
22	23/2025/NQ-HDQT-CKCT	February 17, 2025	Application of margin trading loan interest rates to clients	100%
23	24/2025/NQ-HDQT-CKCT	February 19, 2025	Personnel work	100%
24	25/2025/NQ-HDQT-CKCT	February 20, 2025	Approval of the policy to implement the Business Plans of Bonds/Deposit Certificates issued by Banks	100%
25	26/2025/NQ-HDQT-CKCT	February 25, 2025	Approval of increasing the advance payment limit for securities sales to clients	100%
26	27/2025/NQ-HDQT-CKCT	February 26, 2025	Supplementing securities for margin trading loans, adjusting some margin trading loan policies and advance payment limits for securities sales for clients	100%
27	28/2025/NQ-HDQT-CKCT	March 03, 2025	Organization of the 2025 Annual General Meeting of Shareholders	100%
28	29/2025/NQ-HDQT-CKCT	March 03, 2025	Approval of the ranking and salary levels for staff	100%
29	30/2025/NQ-HDQT-CKCT	March 03, 2025	Approval of the Training Plan in 2025	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
30	31/2025/NQ-HDQT-CKCT	March 03, 2025	Approval of the content of the Authorization, assignment of tasks and work settlement for members of the Board of General Directors	100%
31	32/2025/NQ-HDQT-CKCT	March 05, 2025	Approval of increasing the advance payment limit for securities sales to clients	100%
32	33/2025/NQ-HDQT-CKCT	March 07, 2025	Approval of loan limit adjustment	100%
33	34/2025/NQ-HDQT-CKCT	March 10, 2025	Approval of loan limit extension period	100%
34	35/2025/NQ-HDQT-CKCT	March 11, 2025	Lending for margin trading of stocks and granting advance limits for securities sales to clients	100%
35	36/2025/NQ-HDQT-CKCT	March 12, 2025	Approval of the underwriting plan for Bonds	100%
36	37/2025/NQ-HDQT-CKCT	March 13, 2025	Implementing the contents at the BOD's meeting in March 2025	100%
37	38/2025/NQ-HDQT-CKCT	March 14, 2025	Additional margin lending clients	100%
38	39/2025/NQ-HDQT-CKCT	March 17, 2025	Application of margin trading loan interest rates to clients	100%
39	40/2025/NQ-HDQT-CKCT	March 17, 2025	Approval of draft content and promulgating of the Regulation on Cooperation in developing clients of Corporate Finance Advisory services	100%
40	41/2025/NQ-HDQT-CKCT	March 17, 2025	Implementing the Project on streamlining, innovation and improvement of the quality of human resources at the Industry and Trade Securities Company	100%
41	42/2025/NQ-HDQT-CKCT	March 18, 2025	Approval of the policy and cost estimate for hiring consulting services: "Review and recommend optimization of the	100%



No.	Resolution/Decision Number	Date	Content	Approval rate
			organizational model and human resource management at the Industry and Trade Securities Company"	
42	43/2025/NQ-HDQT-CKCT	March 20, 2025	Approval of the Company's Brand Name and Brand Logo	100%
43	44/2025/NQ-HDQT-CKCT	March 20, 2025	Re-approve and apply several new policies on margin lending and the advance payment limit for securities sales to clients	100%
44	45/2025/NQ-HDQT-CKCT	March 20, 2025	Re-approve and apply several new policies on margin lending and the advance payment limit for securities sales to clients	100%
45	46/2025/NQ-HDQT-CKCT	March 26, 2025	Labor force in 2025	100%
46	47/2025/NQ-HDQT-CKCT	March 26, 2025	Re-approve and apply several new policies on stock margin lending and the advance payment limit for securities sales to clients	100%
47	48/2025/NQ-HDQT-CKCT	March 26, 2025	Re-approve and apply several new policies on stock margin lending and the advance payment limit for securities sales to clients	100%
48	49/2025/NQ-HDQT-CKCT	March 27, 2025	Approval of loan limit	100%
49	50/2025/NQ-HDQT-CKCT	March 27, 2025	Approval of loan limit	100%
50	51/2025/NQ-HDQT-CKCT	March 28, 2025	Approval of policy to send officers on business trips abroad	100%
51	52/2025/NQ-HDQT-CKCT	March 31, 2025	Approval of the trading plan for stocks	100%
52	53/2025/NQ-HDQT-CKCT	March 31, 2025	Collaborator Service Fee calculation rate applied in the 2 <sup>nd</sup> Quarter of 2025	100%
53	54/2025/NQ-HDQT-CKCT	March 31, 2025	Approval of revaluation price for stocks in the portfolio as of March 31, 2025	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
54	55/2025/NQ-HDQT-CKCT	March 31, 2025	Approval of adjustment of implementation time for the project "Renovation and repair of the rented location as the new Headquarters of Ho Chi Minh City Branch"	100%
55	56/2025/NQ-HDQT-CKCT	March 31, 2025	Approval of Investment Portfolio and Fixed Asset Purchase Capital Plan for 2025	100%
56	57/2025/NQ-HDQT-CKCT	April 01, 2025	Approval of the policy and cost of extending the maintenance service of the Securities Management and Trading System Software	100%
57	58/2025/NQ-HDQT-CKCT	April 01, 2025	Approval of the plan for stocks	100%
58	59/2025/NQ-HDQT-CKCT	April 02, 2025	Increase total margin trading loan limit	100%
59	60/2025/NQ-HDQT-CKCT	April 02, 2025	Approval of cost estimates and selection of service providers to organize overseas business trips for the Company's delegation	100%
60	61/2025/NQ-HDQT-CKCT	April 04, 2025	Approval of loan limit	100%
61	62/2025/NQ-HDQT-CKCT	April 04, 2025	Related person transactions	100%
62	63/2025/NQ-HDQT-CKCT	April 04, 2025	Lending margin trading of stocks and granting advance payment limits for securities sales to clients	100%
63	64/2025/NQ-HDQT-CKCT	April 04, 2025	Increase margin trading loan limit and advance payment limits for securities sales for clients	100%
64	65/2025/NQ-HDQT-CKCT	April 04, 2025	Apply several margin trading lending policies and advance payment limits for securities sales to clients	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
65	66/2025/NQ-HDQT-CKCT	April 04, 2025	Re-approval and new application of several policies on stock margin lending and advance payment limit for securities sales to clients	100%
66	67/2025/NQ-HDQT-CKCT	April 04, 2025	Changes in margin trading lending securities, new application of margin trading lending policies and advance payment limits for securities sales for clients	100%
67	68/2025/NQ-HDQT-CKCT	April 04, 2025	Approval of the trading plan for stocks	100%
68	69/2025/NQ-HDQT-CKCT	April 04, 2025	Approval of the sale policy for shares	100%
69	70/2025/NQ-HDQT-CKCT	April 16, 2025	Approval of the draft amendment and promulgating of the Regulation on the framework for securities margin trading activities	100%
70	71/2025/NQ-HDQT-CKCT	April 16, 2025	Approval of trading plan for stocks	100%
71	72/2025/NQ-HDQT-CKCT	April 16, 2025	Approval of trading plan for stocks	100%
72	73/2025/NQ-HDQT-CKCT	April 17, 2025	Related person transactions	100%
73	74/2025/NQ-HDQT-CKCT	April 17, 2025	Disbursement from the Reward Funds	100%
74	75/2025/NQ-HDQT-CKCT	April 18, 2025	Approval of loan limit	100%
75	76/2025/NQ-HDQT-CKCT	April 18, 2025	Application of margin trading loan limit, securities sales advance limits, margin trading loan interest rates to clients	100%
76	77/2025/NQ-HDQT-CKCT	April 18, 2025	Approval of loan limit adjustment	100%
77	78/2025/NQ-HDQT-CKCT	April 21, 2025	Additional margin lending clients	100%



No.	Resolution/Decision Number	Date	Content	Approval rate
78	79/2025/NQ-HDQT-CKCT	April 21, 2025	Approving the policy of implementing the Business Plans of Bonds/Deposit Certificates issued by Credit Institutions	100%
79	80/2025/NQ-HDQT-CKCT	April 22, 2025	Application of some margin trading loan limits and securities sales advance limits to clients	100%
80	81/2025/NQ-HDQT-CKCT	April 22, 2025	Implementing the contents at the BOD' meeting in April 2025	100%
81	82/2025/NQ-HDQT-CKCT	April 22, 2025	Approval of the Contractor Selection Plan for the Package: Procurement of software copyright and information technology equipment for the Industry and Trade Securities Company	100%
82	83/2025/NQ-HDQT-CKCT	April 22, 2025	Approval of several contents related to overseas business trip expenses for the Company's delegation	100%
83	84/2025/NQ-HDQT-CKCT	April 22, 2025	Approval of shares sale plan	100%
84	85/2025/NQ-HDQT-CKCT	April 23, 2025	Approving the Agenda, content of materials for the 2025 Annual General Meeting of Shareholders of the Company and related issues	100%
85	86/2025/NQ-HDQT-CKCT	April 23, 2025	Approval of the policy to liquidate 02 cars at the Company's Headquarters	100%
86	87/2025/NQ-HDQT-CKCT	April 23, 2025	Approval of the margin trading loan interest rate Framework applicable in the 2 <sup>nd</sup> Quarter of 2025	100%
87	88/2025/NQ-HDQT-CKCT	May 05, 2025	Approval of loan limit	100%
88	89/2025/NQ-HDQT-CKCT	May 07, 2025	Application of renewal fee to clients	100%
89	90/2025/NQ-HDQT-CKCT	May 08, 2025	Implementing the contents at the BOD's meeting in May 2025	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
90	91/2025/NQ-HDQT-CKCT	May 12, 2025	Approval of credit facility extension period at Joint Stock Commercial Bank for Foreign Trade of Vietnam - Tay Ho Branch	100%
91	92/2025/NQ-HDQT-CKCT	May 13, 2025	Implementing the Company's plan of shares issuance for dividend payments according to the Resolution of the 2025 Annual General Meeting of Shareholders	100%
92	93/2025/NQ-HDQT-CKCT	May 15, 2025	Division of Reward Fund and Welfare Fund	100%
93	94/2025/NQ-HDQT-CKCT	May 19, 2025	Approval of loan limit at Vietnam Technological and Commercial Joint Stock Bank	100%
94	95/2025/NQ-HDQT-CKCT	May 21, 2025	Personnel work	100%
95	96/2025/NQ-HDQT-CKCT	May 22, 2025	Related party transactions	100%
96	97/2025/NQ-HDQT-CKCT	May 26, 2025	Approval of loan limit at Vietnam Thuong Tin Commercial Joint Stock Bank - Ho Chi Minh City Branch	100%
97	98/2025/NQ-HDQT-CKCT	May 26, 2025	Approval of the Record date of shares issuance for dividend payments from the Company's undistributed realized after-tax profits until the end of 2024	100%
98	99/2025/NQ-HDQT-CKCT	May 26, 2025	Disbursement from the Reward Fund	100%
99	100/2025/NQ-HDQT-CKCT	May 27, 2025	Approval of the Bonds sale plan in the Company's investment portfolio	100%
100	101/2025/NQ-HDQT-CKCT	May 29, 2025	Approval of the adjustment and salary level for staff	100%
101	102/2025/NQ-HDQT-CKCT	May 29, 2025	Establishment of the Board of Directors' Appraisal Council (Authorized Person)	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
102	103/2025/NQ-HDQT-CKCT	May 30, 2025	Remuneration and other benefits for Independent Members of the Board of Directors	100%
103	103A/2025/NQ-HDQT-CKCT	June 04, 2025	Rewards for outstanding collectives and individuals in 2024	100%
104	104/2025/NQ-HDQT-CKCT	June 06, 2025	Approval of loan limit at Asia Commercial Joint Stock Bank - Dinh Cong Branch	100%
105	105/2025/NQ-HDQT-CKCT	June 06, 2025	Personnel work	100%
106	106/2025/NQ-HDQT-CKCT	June 06, 2025	Approval of loan limits at Joint Stock Commercial Bank for Investment and Development of Vietnam - Thanh Xuan Branch	100%
107	107/2025/NQ-HDQT-CKCT	June 06, 2025	Approval of the adjustment of the trading plan for fund certificates	100%
108	108/2025/NQ-HDQT-CKCT	June 16, 2025	Approval of the Asset Liquidation Plan for 02 cars at the Headquarter	100%
109	109/2025/NQ-HDQT-CKCT	June 16, 2025	Approval of the Feasibility Study Report of the project "Expanding the integration features of Bravo 8 software and Purchasing comprehensive management software (payment management, asset management - tools and equipment, human resource management)"	100%
110	110/2025/NQ-HDQT-CKCT	June 18, 2025	Approval of the Contractor Selection Plan for the Package: Procurement of data backup system for the Industry and Trade Securities Company	100%
111	111/2025/NQ-HDQT-CKCT	June 19, 2025	Approval of the results of shares issuance for dividend payments from the Company's undistributed realized after-tax profits until the end of 2024	100%



No.	Resolution/Decision Number	Date	Content	Approval rate
112	112/2025/NQ-HDQT-CKCT	June 19, 2025	Approval of selecting an Auditor to review/audit the Company's semi-annual/annual financial statements and financial safety ratio reports for 2025	100%
113	113/2025/NQ-HDQT-CKCT	June 20, 2025	Approval of Business Plan for Bonds	100%
114	114/2025/NQ-HDQT-CKCT	June 26, 2025	Application of margin trading loan interest rates to clients	100%
115	115/2025/NQ-HDQT-CKCT	June 27, 2025	Increase the total limit of Advance payment for securities sales from the Company's capital	100%
116	116/2025/NQ-HDQT-CKCT	June 27, 2025	Increase the limit of advance payment for securities sales to clients	100%
117	117/2025/NQ-HDQT-CKCT	June 27, 2025	Personnel work	100%
118	118/2025/NQ-HDQT-CKCT	June 30, 2025	Adjustment of the Establishment and Operation License, Business Registration Certificate; Amendment of the Company's Charter on Organization and Operation; Register of additional shares at the Vietnam Securities Depository and Clearing Corporation and Registering additional listing of shares at the Ho Chi Minh City Stock Exchange	100%
119	119/2025/NQ-HDQT-CKCT	June 30, 2025	Collaborator Service Fee calculation rate applied in the 3 <sup>rd</sup> Quarter of 2025	100%
120	120/2025/NQ-HDQT-CKCT	June 30, 2025	Approval of the margin trading loan interest rate Framework applicable in the 3 <sup>rd</sup> Quarter of 2025	100%
121	121/2025/NQ-HDQT-CKCT	June 30, 2025	Approval of final settlement of investment capital for the completed project: Renovation and repair of the rented location as the new Headquarter of Ho Chi Minh City Branch	100%



### III. Board of Supervisors (2025 Semi-annual report):

#### 1. Information about members of Board of Supervisors:

In the first 06 months of 2025, the Board of Supervisors has no personnel changes in the Board of Supervisors. As of June 30, 2025, the Company's Board of Supervisors consisted of 03 members:

No.	Members of Board of Supervisors	Position	The date of becoming/ceasing to be a member of the Board of Supervisors	Professional qualifications
1	Ms. Phan Thi Thu Hang	Head of Board of Supervisors	Becoming member/Head of the Board of Supervisors from April 10, 2019.	Bachelor of Business Accounting
2	Ms. Lam Thi Thu Huong	Supervisor (specialized)	Becoming member of the Board of Supervisors from June 16, 2014.	Bachelor of Banking and Finance
3	Ms. Tran Thi Kim Oanh	Supervisor (specialized)	Becoming member of the Board of Supervisors from January 10, 2022.	Master of Economics

#### 2. Meetings of Board of Supervisors:

In the first 06 months of 2025, the Board of Supervisors held 02 (two) regular meetings to implement work, discuss and give opinions on contents within the scope of functions and tasks of the Board of Supervisors, with a 100% meeting attendance rate, specifically:

No.	Members of the Board of Supervisors	Number of meetings attended	Meeting attendance rate	Voting rate	Reasons for absence
1	Ms. Phan Thi Thu Hang	02/02	100%	100%	-
2	Ms. Lam Thi Thu Huong	02/02	100%	100%	-
3	Ms. Tran Thi Kim Oanh	02/02	100%	100%	-

### **3. Supervising Board of Directors, Board of Management (BOM) and shareholders by Board of Supervisors**

- In the first 06 months of 2025, the Board of Supervisors implemented the tasks and powers stipulated in the Company's Charter and the Regulation on the organization and operation of the Board of Supervisors. The Board of Supervisors has supervised the management and operation activities of the BOD and the BOM in compliance with the provisions of the law and the Company's Charter. In general, the management and operational activities of the BOD and the BOM have complied with the provisions of the Law of Securities and the Company's Charter and have properly implemented the orientation and goals approved by the GMS.
- The supervision of the Board of Supervisors over the BOD, BOM has been implemented through the following main activities:
  - ✓ *Supervise the BOD, BOM in management of the Company's business and financial operations (including the review of reasonableness and level of prudence in the management and operation of business activities);*
  - ✓ *Monitor the financial situation, appraise the Company's Financial Statements (FS) (including the review of the systematization, consistency, and compliance in accounting, statistics, and financial statement preparation);*
  - ✓ *Monitor transactions with affiliated persons/parties;*
  - ✓ *Periodically supervise, deploy inspection teams to review and inspect the Company's activities. Evaluate the effectiveness and efficiency of the Company's Internal control and inspection, Internal Audit, Risk management and early warning systems;*
  - ✓ *Conduct inspections, reviews, and prepare periodic/suddenly supervision reports on the Company's activities involving the major shareholder – Vietnam Joint Stock Commercial Bank for Industry and Trade.*

Result on implementing several specific tasks are as follows:

#### **3.1. Monitoring the BOD and BOM in corporate governance and management:**

- + ***Monitoring the implementation of targets/plans according to the Resolution of the GMS, update information and business performance of the Company, participating and expressing opinions at meetings of the BOD and BOM:*** The BOS supervised the implementation under GMS Resolutions by frequently reviewing and updating on the Company's operational situation. BOS participated fully and contributed opinions during regular BOD meetings and BOM briefings. At the meetings of the BOD, BOM, the BOS provided independent perspectives on the contents of the consultation, the existing problems and risks in the business activities and management of the Company, thereby recommending solutions to be implemented to overcome the limitations and problems. The BOS also made specific recommendations on matters requiring enhanced control to improve efficiency and ensure safe, sustainable growth.

- + ***Reviewing key policy documents (PDs) and BOD resolutions:*** The BOS reviewed PDs issued by the BOD/BOM that significantly impacted Company operations and examined BOD resolutions. The review showed that the resolution process complied with the Company's Charter and Regulation on operation of the BOD, the content was lawful, and decisions received strong consensus among BOD members.

### ***3.2. Appraising the Financial Statements (FS):***

- + According to its tasks and duties, in the first 06 months of 2025, the Board of Supervisors appraised the Company's FS for the fiscal year ended December 31, 2024 based on the audit conducted by Deloitte Vietnam Co., Ltd. The BOS assessed rationality, legality, honesty, completeness, and caution exercised in the Company's accounting, statistics, and FS preparation.

### ***3.3. Reviewing contracts and transactions with Affiliated Persons under BOD/GMS authority:***

- + In the first 06 months of 2025, the Board of Supervisors reviewed contracts between the Company and affiliated persons. The review showed that the execution and implementation of these contracts were legally grounded, duly approved by the BOD within its authority, and publicly disclosed in accordance with regulations.

### ***3.4. Periodically monitor and deploy inspection teams to review a number of the Company's activities, evaluate the effectiveness and efficiency of the Company's Internal Control (ICS), Internal Audit (IA), Risk Management (RM) and early warning systems:***

- + In the first 06 months of 2025, the Board of Supervisors conducted periodic reviews and monitoring, while also establishing inspection teams to directly examine certain activities of the Company. Through these efforts, the Board of Supervisors assessed and identified existing issues and potential risks, and accordingly recommended that the BOD, BOM instruct relevant departments to address, rectify, and resolve the findings as proposed by internal and external audit/inspection teams.

Additionally, based on the results of supervision and inspection, the Board of Supervisors also assessed the effectiveness and efficiency of the Company's ICS, IA, RM, and early warning systems, to provide recommendations aimed at enhancing the effectiveness of these functions.

## ***4. The coordination among the Board of Supervisors, the Board of General Directors, the Board of Directors and other managers:***

- In the first 06 months of 2025, The Board of Supervisors has closely coordinated with the BOD, the Board of General Directors and other managers in performing functions, tasks and exchanging information on the principle of compliance with the law and internal regulations of the Company.



- The Board of Supervisors attended meetings of the BOD and the Board of Management. Basically, documents, reports, and proposals from the Board of Management submitted to the BOD were concurrently sent to the Board of Supervisors.
- Members of the BOD, the Board of Management, and other managers of the Company provided relevant information and documents concerning the Company's operations upon request from the Board of Supervisors.
- Based on the results of supervision and inspection, the Board of Supervisors made recommendations and proposals on measures to strengthen internal control, mitigate risks, ensure compliance with legal and internal regulations, and contribute to enhancing the quality, efficiency, and safety of the Company's operations. The BOD and the Board of Management reviewed and directed relevant individuals and departments to implement the recommendations and proposals of the Board of Supervisors.

**5. Other activities of the Board of Supervisors:**

- Through direct comments at meetings, via email on the documents requesting opinions from the Board of Management sent to the BOD, the Board of Supervisors has made specific recommendations and notes of an advisory nature on governance and risk management, supporting the BOD and the Board of Management with additional reference information in decision making.
- Strengthening training, supplement knowledge, improve the capacity of Supervisors to meet job requirements and ensure operational efficiency.

**IV. Board of Management (2025 Semi-annual report):**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/dismissal
1	Vu Duc Manh	February 12, 1981	Master of Business Administration	Appointed on November 11, 2021
2	Dang Anh Hao	September 15, 1984	Master in Monetary Economics	Appointed on April 15, 2020
3	Pham Ngoc Hiep	May 03, 1978	Master in Finance and Banking	Appointed on November 18, 2019 (Reappointed on November 18, 2024)
4	Nguyen Tuan Anh	October 26, 1983	Master of Business Administration	Appointed on August 01, 2020
5	Tran Thi Ngoc Tai	June 05, 1982	Master of Business Administration	Appointed on March 31, 2022



**V. Chief Accountant (2025 Semi-annual report):**

Full name	Date of birth	Qualification	Date of appointment/dismissal
Nguyen Thi Anh Thu	December 20, 1979	Master of Economics (specialized in Finance, Money Circulation and Credit)	Appointed on September 22, 2020

**VI. Training courses on corporate governance:**

Corporate governance training courses that members of the BOD, members of the Board of Supervisors, the General Director, other managerial personnels, and the Company Secretary participated in, in accordance with corporate governance regulations:

- Participation in specialized courses on securities and professional training programs required for obtaining professional practice certificates, organized by the Securities Research and Training Center under the State Securities Commission of Vietnam (SSC).
- Participation in training courses on governance and management organized by the Company; continual learning and enhancement of knowledge and skills in corporate governance, based on the provisions of the Law on Enterprises, the Law on Securities, and relevant guiding legal documents.

**VII. The list of affiliated persons of the Company and transactions of affiliated persons of the Company (2025 Semi-annual report):****1. The list of affiliated persons of the Company:**

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
I	Member of Board of Directors, Legal Representative										

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation- ship with the Company
1	Tran Phuc Vinh		Chairman of the BOD; Legal representative					April 15, 2020		Acting General Director from April 15, 2020 to November 10, 2021; Member of BOD from April 27, 2020; Chairman of BOD, Legal representative since November 11, 2021.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Vu Duc Manh		Member of BOD; General Director					November 11, 2021		General Director from November 11, 2021; Member of BOD from January 10, 2022.	Internal
3	Bui Thi Thanh Thuy		Member of BOD					April 24, 2025		Member of BOD from April 24, 2025	Internal
4	Dang Anh Hao		Member of BOD until the end of April 23 2025; Deputy General Director					April 15, 2020		Deputy General Director since April 15, 2020; Member of BOD from April 27, 2020 to April 23, 2025	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
5	Ho Thi Thu Hien		Member of BOD					April 27, 2020		Chairman of BOD from April 27, 2020 to November 10, 2021; Member of BOD from November 11, 2021.	Internal
6	Pham Viet Hung		Independent Member of BOD					April 24, 2025		Member of BOD from April 24, 2025	Internal
7	Pham Thi Huyen Trang		Independent Member of BOD until the end of April 24, 2025					April 10, 2024	April 24, 2025	Independent Member of BOD from April 10, 2024 to April 24, 2025	Internal



No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>II</b>	<b>Member of the Board of General Directors, Authorized person to disclose information</b>										
1	Vu Duc Manh		Member of BOD; General Director					November 11, 2021		General Director from November 11, 2021; Member of BOD from January 10, 2022.	Internal
2	Dang Anh Hao		Member of BOD until the end of April 23 2025; Deputy General Director					April 15, 2020		Deputy General Director since April 15, 2020; Member of BOD from April 27, 2020 to April 23, 2025	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
3	Pham Ngoc Hiep		Deputy General Director					November 18, 2019		Deputy General Director since November 18, 2019.	Internal
4	Nguyen Tuan Anh		Deputy General Director					August 01, 2020		Deputy General Director from August 1, 2020.	Internal
5	Tran Thi Ngoc Tai		Deputy General Director; Authorized person to disclose information					March 31, 2022		Deputy General Director; Authorized person to disclose information from March 31, 2022.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>III Members of the Board of Supervisors</b>											
1	Phan Thi Thu Hang		Head of Board of Supervisors					April 10, 2019		Head of Board of Supervisors since April 10, 2019.	Internal
2	Lam Thi Thu Huong		Supervisor					June 16, 2014		Supervisor since June 16, 2014.	Internal
3	Tran Thi Kim Oanh		Supervisor					January 10, 2022		Supervisor since January 10, 2022.	Internal
<b>IV Chief Accountant</b>											
1	Nguyen Thi Anh Thu		Chief Accountant					September 22, 2020		Chief Accountant from September 22, 2020	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relation- ship with the Company
V	Internal Audit Department										
1	Nguyen Thi Thuy Linh		Head of IA Depart- ment					May 20, 2020		Head of IA Department since May 20, 2020	Internal
VI	Company Secretary, Person in charge of Corporate Governance of the Company										
1	Le Mai Khanh		Deputy Chief of BOD assistant office; Person in charge of corporate governance					January 01, 2023		Person in charge of corporate governance of the Company from January 01, 2023 (On maternity leave from April 28 to October 27, 2025)	Internal



No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Pham Thi Thanh Mai		Company Secretary					January 15, 2023		- Company Secretary from January 15, 2023; - Assign the task of the Acting Company's Person in charge of corporate governance for a period of 06 months from April 28, 2025 during leave of Ms. Le Mai Khanh	Internal
VII	Other organizations and individuals are related parties										

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Vietnam Joint Stock Commercial Bank for Industry and Trade							September 01, 2000		Parent Bank, Major Shareholder owns 75.636% of voting shares	State shareholders, major shareholders

***2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:***

In the first 06 months of 2025, the Board of Directors issued three (03) Resolutions approving transactions with affiliated persons, specifically:

- Resolution No. 62/2025/NQ-HDQT-CKCT dated April 04, 2025, approving the Company's signing of a Securities Trading Order Placement Agreement for investors whose securities are deposited at the custodian bank, with the Hanoi Branch of Vietnam Joint Stock Commercial Bank for Industry and Trade.
- Resolution No. 73/2025/NQ-HDQT-CKCT dated April 17, 2025, approving the Company's signing of a Master Agreement for trading valuable papers and carrying out purchase/sale transactions of valuable papers with VietinBank Gold and Jewellery One Member Limited Liability Company.
- Resolution No. 96/2025/NQ-HDQT-CKCT dated May 22, 2025, approving the Company's signing of an Appendix to the Advisory Contract on Public Offering of Securities No. 16B/2022/TVCB/CKCT07 - VIETINBANK dated 29/09/2022 with Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank), regarding the public offering of shares of Saigon Port Joint Stock Company by VietinBank.

**Table of figures relating to transactions between The Company and its affiliated persons, between the Company and its major shareholders, internal person, and its internal person of internal person:**

No.	Name of organization/ individual	Relationship with the Com- pany	NSH No., date of issue, place of issue			Address	Time of transac- tions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
I.	Significant balances with affiliated persons of the Company at the end of the period (June 30, 2025):									
1.	Vietnam Joint Stock Commercial Bank for Industry and Trade	Major shareh- older - Parent bank	0103038874 (currently 0100111948)	July 03, 2009	Hanoi Depart- ment of Planning and Invest- ment	108 Tran Hung Dao, Cua Nam Ward, Hoan Kiem District, Hanoi City	The first 06 months of 2025	- Minutes of the BOD meeting on June 23, 2015. - Resolution No. 32/NQ- HDQT-CKCT dated July 3, 2019; and No. 186/2022/NQ-HDQT- CKCT dated December 22, 2022 (Ref: Transactions with affiliated persons). - Resolution No. 200/2024/NQ-HDQT- CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and	- Company's payment deposit: + Beginning balance: VND 84,009,740,384; + Ending balance: VND 95,017,345,804. - Investor's trading deposit: + Beginning balance: VND 556,798,332,281; + Ending balance: VND 1,252,676,080,654. - Receivables (interest on deposits, other receivables): + Beginning balance: VND 15,600,884; + Ending balance: VND 16,976,546. - VietinBank's Certificate of Deposit <sup>(8)</sup> :	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transac- tions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
								transactions with affiliated persons).	+ Beginning balance: VND 175,500,000,000; + Ending balance: VND 135,000,000,000. - Payable for securities trading activities: + Beginning balance: VND 7,480,096; + Ending balance: VND 7,480,096. - Payable: + Beginning balance: VND 604,747,416; + Ending balance: VND 604,747,416. - Unearned revenue: + Beginning balance: VND 346,397,427; + Ending balance: VND 317,696,428.	



No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transac- tions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
2.	Vietnam Joint Stock Commercial Bank for Industry and Trade Fund Management Company Limited	Compa- ny with the same parent bank	50/UBCK- GP	Octor- ber 26, 2010	State Securities Commis- sion of Vietnam	6th Floor, Cua Nam Market Shoppin g Center, No. 34 Cua Nam, Hoan Kiem, Hanoi	The first 06 months of 2025	- Resolution No. 200/2024/NQ-HDQT- CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with affiliated persons )	- Payable on securities trading deposits: + Beginning balance: VND 189,830,654; + Ending balance: VND 223,945,476.	
3.	Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation (formerly Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Company Limited)	Compa- ny with the same parent bank	21 GP/KDBH	Dece- mber 12, 2002	Ministry of Finance	10th and 11th floors, 126 Doi Can building - Ba Dinh District - Hanoi	The first 06 months of 2025	- Resolution No. 200/2024/NQ-HDQT- CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with affiliated persons)	- Prepaid insurance costs: + Beginning balance: VND 1,019,996,172; + Ending balance: VND 474,652,584. - Insurance fees receivable: + Beginning balance: VND 0; + Ending balance: VND 20,292,335.	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transac- tions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
								- Resolution No.258/2024/ NQ- HDQT- CKCT dated December 26, 2024 (ref: Transactions with affiliated persons).	- Insurance fees payable: + Beginning balance: VND 4,160,000; + Ending balance: VND 24,849,673.	
<b>II. Value of significant transactions of the Company with related parties in the 2025 accounting period from January 1, 2025 to June 30, 2025:</b>										
1.	Vietnam Joint Stock Commercial Bank for Industry and Trade	Major shareh- older - Parent bank	0103038874 (currently 0100111948)	July 03, 2009	Hanoi Depart- ment of Planning and Invest- ment	108 Tran Hung Dao, Cua Nam Ward, Hoan Kiem District, Hanoi City	The first 06 months of 2025	- Minutes of BOD meeting on June 23, 2015. - Resolution No. 32/NQ- HDQT-CKCT dated July 3, 2019; and No. 186/2022/NQ-HDQT- CKCT dated December 22, 2022 (Ref: Transactions with affiliated persons). - Resolution No. 183/2024/NQ-HDQT- CKCT dated August 30, 2024 (Ref: Transactions with affiliated persons).	- Interest income from deposits: VND 750,073,456 - Interest expense on deposits: VND 861,618,853. - Revenue from Bond depository fees: VND 28,700,999. - Revenue from bond listing advisory service fees: VND 380,000,000. - Revenue from bond registration agent service fees: VND 148,000,000. - Other revenue: VND 6,568,122.	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
								- Resolution No. 200/2024/NQ-HDQT- CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with affiliated persons)	- Office rental cost: VND 591,360,000. - Transfer fee: VND 5,995,983.	
2.	Vietnam Joint Stock Commercial Bank for Industry and Trade Fund Management Company Limited	Company with the same parent bank	50/UBCK- GP	October 26, 2010	State Securities Commission of Vietnam	6 <sup>th</sup> Floor, Cua Nam Market Shopping Center, No. 34 Cua Nam, Hoan Kiem, Hanoi	The first 06 months of 2025	- Resolution No. 200/2024/NQ-HDQT- CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with affiliated persons)	- Depository fee revenue: VND 9,362,172. - Deposit interest expense: VND 223,994.	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
3.	Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation (formerly <i>Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Company Limited</i> )	Company with the same parent bank	21 GP/KDBH	December 12, 2002	Ministry of Finance	10 <sup>th</sup> and 11 <sup>th</sup> floors, 126 Doi Can building - Ba Dinh District - Hanoi	The first 06 months of 2025	- Resolution No.258/2024/ NQ- HDQT- CKCT dated December 26, 2024 (ref: Transactions with affiliated persons). - Resolution No. 200/2024/NQ-HDQT- CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with affiliated persons).	- Allocated Insurance cost: VND 595,345,174.	



No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transac- tions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction <sup>(7)</sup>	Note
			NSH No.	Date of issue	Place of issue					
4	VietinBank Gold and Jewellery One Member Limited Liability Company	Company with the same parent bank	0105011873	November 25, 2010	Hanoi Department of Planning and Invest- ment	2 <sup>nd</sup> Floor, Hoang Thanh Building , 114 Mai Hac De - Le Dai Hanh - Hai Ba Trung - Hanoi	The first 06 months of 2025	<ul style="list-style-type: none"> <li>- Resolution No. 259/2024/NQ-HĐQT-CKCT dated December 26, 2024 (ref: Transactions with related parties).</li> <li>- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with affiliated persons).</li> <li>- Resolution No 73/2025/NQ-HĐQT-dated April 17, 2025 (ref: Transactions with affiliated persons).</li> </ul>	<ul style="list-style-type: none"> <li>- Revenue from certificate of deposit trading: VND 36,345,814.</li> <li>- Revenue from bond trading: VND 31,897,500.</li> <li>- Revenue from brokerage fee: VND 4,676,182.</li> <li>- Goods purchase in period: VND 73,692,000.</li> </ul>	

(7) The figures stated in the above table at the time of preparing this Report are figures without comments from the Auditing Unit.

(8) Certificates of deposit issued by Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank) are purchased by the Company from other partners in the secondary market, the Company does not conduct direct transactions with VietinBank.

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None.

4. Transactions between the Company and other objects: None.

#### VIII. Stock transactions of internal persons and their affiliated persons (2025 Semi-annual report):

##### 1. List of internal persons and their affiliated persons at the end of the reporting period (June 30, 2025)<sup>(9)</sup>:

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Tran Phuc Vinh		Chairman of the BOD; Legal representative					0	0	Chairman of the BOD, Legal Representative from November 11, 2021
1.01	Tran Van Thuc		None					0	0	Father of Mr. Tran Phuc Vinh
1.02	Nguyen Thi Ngoc Lan		None					0	0	Mother of Mr. Tran Phuc Vinh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.03	Bui Trong Quang		None					0	0	Father-in-law of Mr. Tran Phuc Vinh
1.04	Nguyen Thi Huong		None					0	0	Mother-in-law of Mr. Tran Phuc Vinh
1.05	Bui Thi Man		None					0	0	Wife of Mr. Tran Phuc Vinh
1.06	Tran Bao Ngan		None					0	0	Daughter of Mr. Tran Phuc Vinh
1.07	Tran Bao Tien		None					0	0	Daughter of Mr. Tran Phuc Vinh
1.08	Tran Dang Quang		None					0	0	Younger brother of Mr. Tran Phuc Vinh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.09	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)							160.873.331	75.636%	Mr. Tran Phuc Vinh is the representative of 40% of VietinBank's capital at the Company.
2	Vu Duc Manh		Member of BOD; General Director					0	0	Member of the BOD from January 10, 2022; General Director from November 11, 2021
2.01	Vu Huu Dan		None					0	0	Father of Mr. Vu Duc Manh



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.02	Tong Thi Tuyet Mai		None					0	0	Mother of Mr. Vu Duc Manh
2.03	Nguyen Thi Yen		None					0	0	Mother-in-law of Mr. Vu Duc Manh
2.04	Le Thi Thu Thuy		None					0	0	Wife of Mr. Vu Duc Manh
2.05	Vu Thai Son		None					0	0	Son of Mr. Vu Duc Manh
2.06	Vu Thai Ngoc		None					0	0	Son of Mr. Vu Duc Manh
2.07	Vu Thi Thuy Linh		None					0	0	Younger sister of Mr. Vu Duc Manh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.08	Nguyen Thanh Chung		None					0	0	Brother-in-law of Mr. Vu Duc Manh
2.09	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)							160.873.331	75,636%	Mr. Vu Duc Manh is the representative of 30% of VietinBank's capital at the Company.
3	Bui Thi Thanh Thuy		Member of BOD					0	0	Member of the BOD from April 24, 2025
3.01	Vu Thi Bach Hong		None					0	0	Mother of Ms. Bui Thi Thanh Thuy

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.02	Nguyen Quang Thieu		None					0	0	Father-in-law of Ms. Bui Thi Hong Thanh Thuy
3.03	Dinh Thi Tuy		None					0	0	Mother-in-law of Ms. Bui Thi Thanh Thuy
3.04	Nguyen Tan Cuong		None					0	0	Husband of Ms. Bui Thi Thanh Thuy
3.05	Nguyen Tan Vinh		None					0	0	Son of Ms. Bui Thi Thanh Thuy
3.06	Nguyen Ha Linh		None					0	0	Son of Ms. Bui Thi Thanh Thuy
3.07	Bui Thu Huong		None					0	0	Sister of Ms. Bui Thi Thanh Thuy
3.08	Bui Thu Giang		None					0	0	Sister of Ms. Bui Thi Thanh Thuy

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.09	Do Manh Cuong		None					0	0	Brother-in-law of Ms. Bui Thi Thanh Thuy
3.10	Nguyen Thanh Giang		None					0	0	Brother-in-law of Ms. Bui Thi Thanh Thuy
3.11	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)							160.873.331	75.636%	Ms. Bui Thi Thanh Thuy is the representative of 30% of VietinBank's capital at the Company from April 24, 2025
4	Ho Thi Thu Hien		Member of BOD					0	0	Member of BOD from November 11, 2021



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.01	Quach Thanh		None					0	0	Father-in-law of Mrs. Ho Thi Thu Hien
4.02	Nguyen Thi Dich		None					0	0	Mother-in-law of Mrs. Ho Thi Thu Hien
4.03	Quach Gia Luong		None					0	0	Husband of Mrs. Ho Thi Thu Hien
4.04	Quach Hoang Lam		None					0	0	Son of Mrs. Ho Thi Thu Hien
4.05	Quach Thu Ha		None					0	0	Daughter of Mrs. Ho Thi Thu Hien
4.06	Ho Manh Hung		None					0	0	Brother of Mrs. Ho Thi Thu Hien

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.07	Ho Thi Nam Thanh		None					0	0	Sister of Mrs. Ho Thi Thu Hien
4.08	Ho Thi Thu Chung		None					0	0	Sister of Mrs. Ho Thi Thu Hien
4.09	Pham Thi Thu Huyen		None					0	0	Sister-in-law of Mrs. Ho Thi Thu Hien
4.10	Nguyen Dinh Hong		None					0	0	Brother-in-law of Mrs. Ho Thi Thu Hien
4.11	Vietnam Credit Information Joint Stock Company							0	0	Ms. Ho Thi Thu Hien is a member of the Board of Directors.

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.12	Saigon Port Joint Stock Company							0	0	Ms. Ho Thi Thu Hien is a member of the Board of Directors.
5	Pham Viet Hung		Independent Member of BOD					0	0	Independent member of the BOD from April 24, 2025
5.01	Pham Van Nguyen		None					0	0	Father of Mr. Pham Viet Hung
5.02	Nguyen Ba Loi		None					0	0	Father-in-law of Mr. Pham Viet Hung
5.03	Phan Thi Hoang Anh		None					0	0	Mother-in-law of Mr. Pham Viet Hung
5.04	Nguyen Huong Lien		None					0	0	Wife of Mr. Pham Viet Hung

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.05	Pham Minh Tuan		None					0	0	Son of Mr. Pham Viet Hung
5.06	Pham Tung Lam		None					0	0	Son of Mr. Pham Viet Hung
5.07	Pham Viet Dung		None					0	0	Brother of Mr. Pham Viet Hung
6	Pham Thi Huyen Trang		Independent Member of BOD until April 23, 2025					0	0	Independent member of the BOD from April 10, 2024 to April 23, 2025
6.01	Pham Xuan Nhan		None					0	0	Father of Mrs. Pham Thi Huyen Trang
6.02	Nguyen Thi Mo		None					0	0	Mother of Mrs. Pham Thi Huyen Trang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.03	Hoang Thi Mai		None					0	0	Mother-in-law of Mrs. Pham Thi Huyen Trang
6.04	Doan Ngoc Doan		None					0	0	Husband of Mrs. Pham Thi Huyen Trang
6.05	Doan Yen Nhi		None					0	0	Daughter of Mrs. Pham Thi Huyen Trang
6.06	Pham Thi Van Anh		None					0	0	Sister of Mrs. Pham Thi Huyen Trang
6.07	Vu Chi Hieu		None					0	0	Brother-in-law of Mrs. Pham Thi Huyen Trang



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.08	Pham Thi Huyen Le		None					0	0	Sister of Mrs. Pham Thi Huyen Trang
6.09	Pham Viet Hung		None					0	0	Sister-in-law of Mrs. Pham Thi Huyen Trang
7	Dang Anh Hao		Member of BOD until April 23, 2025; Deputy General Manager					0	0	Deputy General Manager from April 15, 2020; Member of the BOD from April 27, 2020 to April 23, 2025
7.01	Dang Hien Hung		None					0	0	Father of Mr. Dang Anh Hao

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.02	Nguyen Thi Huong		None					0	0	Mother of Mr. Dang Anh Hao
7.03	Dao Thi Gai		None					0	0	Mother-in-law of Mr. Dang Anh Hao
7.04	Le Thi Bich Lua		None					0	0	Wife of Mr. Dang Anh Hao
7.05	Dang Anh Kiet		None					0	0	Son of Mr. Dang Anh Hao
7.06	Dang Anh Duy		None					0	0	Son of Mr. Dang Anh Hao
7.07	Dang Anh Vinh		None					0	0	Son of Mr. Dang Anh Hao
7.08	Dang Thi Thanh Thao		None					0	0	Sister of Mr. Dang Anh Hao

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.09	Nguyen Manh Cuong		None					0	0	Brother-in-law of Mr. Dang Anh Hao
7.10	Dang Viet Dung		None					0	0	Brother of Mr. Dang Anh Hao
7.11	Nguyen Ha Quynh		None					0	0	Sister-in-law of Mr. Dang Anh Hao
7.12	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)							112.498.833 (at April 23, 2025)	75,635% (at April 23, 2025)	Mr. Dang Anh Hao is the representative of 30% of VietinBank's capital at the Company from January 10, 2022 to April 23, 2025

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8	Pham Ngoc Hiep		Deputy General Manager					0	0	Deputy General Director since November 18, 2019
8.01	Pham Ngoc Dan		None					0	0	Father of Mr. Pham Ngoc Hiep
8.02	Do Thi Nga		None					0	0	Mother of Mr. Pham Ngoc Hiep
8.03	Pham Van Cu		None					0	0	Father-in-law of Mr. Pham Ngoc Hiep
8.04	Trinh Thi Yen		None					0	0	Mother-in-law of Mr. Pham Ngoc Hiep
8.05	Pham Thi Bich Nga		None					0	0	Wife of Mr. Pham Ngoc Hiep

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.06	Pham Bao Vy		None					0	0	Daughter of Mr. Pham Ngoc Hiep
8.07	Pham Khanh Ha		None					0	0	Daughter of Mr. Pham Ngoc Hiep
8.08	Pham Ngoc Dien		None					0	0	Younger brother of Mr. Pham Ngoc Hiep
8.09	Pham Thu Hien		None					0	0	Younger sister of Mr. Pham Ngoc Hiep
8.10	Nguyen Quoc Tuan		None					0	0	Brother-in-law Mr. Pham Ngoc Hiep
8.11	Nguyen Huyen Trang		None					0	0	Sister-in-law of Mr. Pham Ngoc Hiep



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9	Nguyen Tuan Anh		Deputy General Manager					0	0	Deputy General Director from August 01, 2020
9.01	Nguyen Khac Binh		None					0	0	Father of Mr. Nguyen Tuan Anh
9.02	Le Thi Linh		None					0	0	Mother of Mr. Nguyen Tuan Anh
9.03	Nguyen Thi Hanh		None					0	0	Mother-in-law of Mr. Nguyen Tuan Anh
9.04	Do Thi Khanh Linh		None					0	0	Wife of Mr. Nguyen Tuan Anh
9.05	Nguyen Linh Phuong		None					0	0	Daughter of Mr. Nguyen Tuan Anh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.06	Nguyen Linh Chi		None					0	0	Daughter of Mr. Nguyen Tuan Anh
9.07	Nguyen Hong Van		None					0	0	Yonger sister of Mr. Nguyen Tuan Anh
10	Tran Thi Ngoc Tai		Deputy General Director ; Authorized person to disclose information					0	0	Deputy General Director ; Authorized person to disclose information from March 31, 2022
10.01	Tran Van Tung		None					0	0	Father of Mrs. Tran Thi Ngoc Tai

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.02	Nguyen Thi Dam		None					0	0	Mother of Mrs. Tran Thi Ngoc Tai
10.03	Nguyen Ngoc Hung		None					0	0	Father-in-law of Mrs. Tran Thi Ngoc Tai
10.04	Hoang Thi Lam		None					0	0	Mother-in-law Mrs. Tran Thi Ngoc Tai
10.05	Nguyen Hoang Huy		None					0	0	Husband of Mrs. Tran Thi Ngoc Tai
10.06	Nguyen Dang Quang		None					0	0	Son of Mrs. Tran Thi Ngoc Tai
10.07	Nguyen Tue Minh		None					0	0	Son of Tran Thi Ngoc Tai

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.08	Tran Minh Duc		None					0	0	Brother of Mrs. Tran Thi Ngoc Tai
10.09	Nguyen Van Anh		None					0	0	Sister-in-law of Mrs. Tran Thi Ngoc Tai
11	Phan Thi Thu Hang		Head of Board of Supervisors					0	0	Head of Board of Supervisors since April 10, 2019
11.01	Phan Trong Duc		None					0	0	Father of Mrs. Phan Thi Thu Hang
11.02	Tran Thi Minh		None					0	0	Mother of Mrs. Phan Thi Thu Hang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.03	Nguyen Van Binh		None					0	0	Father-in-law of Mrs. Phan Thi Thu Hang
11.04	Bui Thi The		None					0	0	Mother-in-law of Mrs. Phan Thi Thu Hang
11.05	Nguyen Thanh Tuan		None					0	0	Husband of Mrs. Phan Thi Thu Hang
11.06	Nguyen Phan Duc Anh		None					0	0	Son of Mrs. Phan Thi Thu Hang
11.07	Nguyen Phan Duy Anh		None					0	0	Son of Mrs. Phan Thi Thu Hang
11.08	Phan Thi Minh Hong		None					0	0	Sister of Mrs. Phan Thi Thu Hang



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.09	Nguyen Tuan Anh		None					0	0	Brother-in-law of Mrs. Phan Thi Thu Hang
12	Lam Thi Thu Huong		Supervisor					0	0	Supervisor since June 16, 2014
12.01	Lam Van Thieu		None					0	0	Father of Mrs. Lam Thi Thu Huong
12.02	Tran Thi Lien		None					0	0	Mother of Mrs. Lam Thi Thu Huong
12.03	Tran Hai Dai		None					0	0	Father-in-law of Mrs. Lam Thi Thu Huong
12.04	Tran Thi Lan		None					0	0	Mother-in-law of Mrs. Lam Thi Thu Huong

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.05	Tran Hai Long		None					0	0	Husband of Mrs. Lam Thi Thu Huong
12.06	Tran Quynh Chi		None					0	0	Daughter of Mrs. Lam Thi Thu Huong
12.07	Tran Gia Hung		None					0	0	Son of Mrs. Lam Thi Thu Huong
12.08	Lam Duc Thien		None					0	0	Younger brother of Mrs. Lam Thi Thu Huong
12.09	Nguyen Thi Khuyen		None					0	0	Sister-in-law of Mrs. Lam Thi Thu Huong
13	Tran Thi Kim Oanh		Supervisor					0	0	Supervisor since January 10, 2022

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
13.01	Tran Duc Tan		None					0	0	Father of Mrs. Tran Thi Kim Oanh
13.02	Nguyen Thi Mai		None					0	0	Mother of Mrs. Tran Thi Kim Oanh
13.03	Hoang Van Toai		None					0	0	Father-in-law of Mrs. Tran Thi Kim Oanh
13.04	Nguyen Thi Kim Thanh		None					0	0	Mother-in-law Mrs. Tran Thi Kim Oanh
13.05	Hoang Ngoc Anh		None					0	0	Husband of Mrs. Tran Thi Kim Oanh
13.06	Hoang Lam Nguyen		None					0	0	Son of Mrs. Tran Thi Kim Oanh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
13.07	Hoang Nha Quyen		None					0	0	Daughter of Mrs. Tran Thi Kim Oanh
13.08	Tran Quoc Tuan		None					0	0	Brother of Mrs. Tran Thi Kim Oanh
13.09	Tran Thi Thu Trang		None					0	0	Sister of Mrs. Tran Thi Kim Oanh
13.10	Vu Minh Hien		None					0	0	Sister-in-law of Mrs. Tran Thi Kim Oanh
13.11	Nguyen Duc Trinh		None					0	0	Brother-in-law of Mrs. Tran Thi Kim Oanh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
14	Nguyen Thi Thuy Linh		Head of IA Department					0	0	Head of IA Department since May 20, 2020
14.01	Nguyen Tri Dung		None					0	0	Father of Mrs. Nguyen Thi Thuy Linh
14.02	Nguyen Thi Mui		None					0	0	Mother of Mrs. Nguyen Thi Thuy Linh
14.03	Nguyen Chi Thanh		None					0	0	Younger brother of Mrs. Nguyen Thi Thuy Linh
14.04	Ho Nhu Y		None					0	0	Sister-in-law of Mrs. Nguyen Thi Thuy Linh



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15	Nguyen Thi Anh Thu		Chief Accountant					0	0	Chief Accountant from September 22, 2020
15.01	Duong Thi Phuong		None					0	0	Mother of Mrs. Nguyen Thi Anh Thu
15.02	Tran Van Hoan		None					0	0	Father-in-law of Mrs. Nguyen Thi Anh Thu
15.03	Tran Thi Xuan		None					0	0	Mother-in-law of Mrs. Nguyen Thi Anh Thu
15.04	Tran Tien Dung		None					0	0	Husband of Mrs. Nguyen Thi Anh Thu
15.05	Tran Hoang Minh		None					0	0	Son of Mrs. Nguyen Thi Anh Thu

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15.06	Tran Hoang Bach		None					0	0	Son of Mrs. Nguyen Thi Anh Thu
15.07	Nguyen Duc Thang		None					0	0	Brother of Mrs. Nguyen Thi Anh Thu
15.08	Nguyen Thi Thuy		None					0	0	Sister-in-law of Nguyen Thi Anh Thu
16	Le Mai Khanh		Deputy Chief of BOD assistant office; Person in charge of corporate governance					0	0	In charge of Coporate governance of the Company since January 01, 2023 (maternity leave from April 28, 2025 to October 27, 2025)

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
16.01	Le Van Kham		None					0	0	Father of Mrs. Le Mai Khanh
16.02	Pham Thi Anh		None					0	0	Mother of Mrs. Le Mai Khanh
16.03	Nguyen Thanh Huong		None					0	0	Mother-in-law of Mrs. Le Mai Khanh
16.04	Nguyen Manh Toan		None					0	0	Husband of Mrs. Le Mai Khanh
16.05	Nguyen Le Phuong		None					0	0	Daughter of Mrs. Le Mai Khanh
16.06	Nguyen Le Minh		None					0	0	Daughter of Mrs. Le Mai Khanh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
16.07	Le Duy Khanh		None					0	0	Brother of Mrs. Le Mai Khanh
16.08	Phan Dieu Tien		None					0	0	Sister-in-law of Mrs. Le Mai Khanh
17	Pham Thi Thanh Mai		Company Secretary					0	0	Company Secretary since January 15, 2023 (Assign the task of the Company's Administrator from April 28, 2025 to October 27, 2025)

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
17.01	Pham Dinh Lien		None					0	0	Father of Mrs. Pham Thi Thanh Mai
17.02	Dao Thi Huong		None					0	0	Mother of Mrs. Pham Thi Thanh Mai
17.03	Nguyen Huu Thai		None					0	0	Father-in-law of Mrs. Pham Thi Thanh Mai
17.04	Nguyen Thi Cam Thach		None					0	0	Mother-in-law of Mrs. Pham Thi Thanh Mai
17.05	Nguyen Huu Son		None					0	0	Husband of Mrs. Pham Thi Thanh Mai




No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
17.06	Nguyen Nhat Minh		None					0	0	Son of Mrs. Pham Thi Thanh Mai
17.07	Nguyen Minh Khue		None					0	0	Daughter of Mrs. Pham Thi Thanh Mai
17.08	Nguyen Pham Minh Thu		None					0	0	Daughter of Mrs. Pham Thi Thanh Mai
17.09	Pham Anh Dung		None					0	0	Brother of Mrs. Pham Thi Thanh Mai
17.10	Nguyen Thi Viet Tu		None					0	0	Sister-in-law of Mrs. Pham Thi Thanh Mai

(9) For those being no longer internal persons or their affiliated persons at the mid-reporting period, the relevant information of that internal persons or affiliated person is finalized until the end of the day immediately preceding the day when the internal persons or a affiliated persons of that internal person ceases to be an internal persons or affiliated persons of that internal person.

2. *Transactions of internal persons and affiliated persons with shares of the Company:* None

IX. **Other significant issues:** None

Respectfully report! 

**Recipients:**

- As To;
- Archived: HR& Administration Department,  
BOD Office.

**REPRESENTATIVE OF BOARD OF DIRECTOR  
CHAIRMAN**



  
**Tran Phuc Vinh**